# 801. PUBLIC RECORDS

## 1. Purpose

The Joint Operating Committee recognizes the importance of public records as the record of the center’s actions and the repository of information about this center. The public has the right to access and procure copies of public records, with certain exceptions, subject to law, Joint Operating Committee policy and administrative regulations.

## 2. Definitions

**Financial record** - any account, voucher or contract dealing with the receipt or disbursement of funds or acquisition, use or disposal of services, supplies, materials, equipment or property; or the salary or other payments or expenses paid to an officer or employee, including the individual’s name and title; and a financial audit report, excluding the audit’s underlying work papers.

**Public record** - a record, including a financial record, that is not protected by a defined privilege or is not exempt from being disclosed under one of the exemptions in Pennsylvania’s Right-to-Know Law or under other federal or state law or regulation, or judicial decree or order.

**Record** - information, regardless of physical form or characteristics, that documents a center transaction or activity and is created, received or retained pursuant to law or in connection with a center transaction, business or activity, including: a document; paper; letter; map; book; tape; photograph; film or sound recording; information stored or maintained electronically; and a data-processed or image-processed document.

**Response** - the center’s notice informing a requester of a granting of access to a record or the center’s written notice to a requester granting, denying, or partially granting and partially denying access to a requested record.

**Requester** - a legal resident of the United States, or an agency, who requests access to a record.
3. Authority

65 P.S.
Sec. 67.302, 67.305, 67.504, 67.701

The Joint Operating Committee shall make the center’s public records available for access and duplication to a requester, in accordance with law, Joint Operating Committee policy and administrative regulations.

4. Delegation of Responsibility

65 P.S.
Sec. 67.502

The Joint Operating Committee shall designate an Open Records Officer, who shall be responsible to:

1. Receive written requests for access to records submitted to the center.

2. Review and respond to written requests in accordance with law, Joint Operating Committee policy and administrative regulations.

3. Direct requests to other appropriate individuals in the center or in another agency.

4. Track the center’s progress in responding to requests.

5. Issue interim and final responses to submitted requests.

6. Maintain a log of all record requests and their disposition.

7. Ensure center staff are trained to perform assigned job functions relative to requests for access to records.

65 P.S.
Sec. 67.502, 67.901, 67.1101

Upon receiving a request for access to a record, the Open Records Officer shall:

1. Note the date of receipt on the written request.

2. Compute and note on the written request the day on which the five-day period for response will expire.

3. Maintain an electronic or paper copy of the written request, including all documents submitted with the request, until the request has been fulfilled.

4. If the written request is denied, maintain the written request for thirty (30) days or, if an appeal is filed, until a final determination is issued or the appeal is deemed denied.

5. Guidelines

65 P.S.
Sec. 67.701

Requesters may access and procure copies of the public records of the center during the regular business hours of the administration offices.
A requester’s right of access does not include the right to remove a record from the control or supervision of the Open Records Officer.

65 P.S.  
Sec. 67.302

The center shall not limit the number of records requested.

65 P.S.  
Sec. 67.705

When responding to a request for access, the center is not required to create a record that does not exist nor to compile, maintain, format or organize a record in a manner which the center does not currently use.

42 U.S.C.  
Sec. 12132
28 CFR  
Sec. 35.160,  
35.164

Information shall be made available to individuals with disabilities in an appropriate format, upon request and with sufficient advance notice.

65 P.S.  
Sec. 67.504,  
67.505

The center shall post at the administration office and on the center’s web site, if it maintains a web site, the following information:

1. Contact information for the Open Records Officer.

2. Contact information for the state’s Office of Open Records or other applicable appeals officer.

3. The form to be used to file a request, with a notation that the state Office of Open Records form may also be used if the center decides to create its own form.

4. Joint Operating Committee policy, administrative regulations and procedures governing requests for access to the center’s public records.

**Request For Access**

65 P.S.  
Sec. 67.504,  
67.505, 67.703

A written request for access to a public record shall be submitted on the required form(s) and addressed to the Open Records Officer.

Written requests may be submitted to the center in person, by mail, to a designated facsimile machine, and to a designated e-mail address.

65 P.S.  
Sec. 67.701,  
67.703

Each request must include the following information:

1. Identification or description of the requested record, in sufficient detail.
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<td>2.</td>
<td>Medium in which the record is requested.</td>
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<td>3.</td>
<td>Name and address of the individual to receive the center’s response.</td>
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**65 P.S. Sec. 67.703**

The center shall not require an explanation of the reason for the request or the intended use of the requested record, unless otherwise required by law.

**Fees**

*65 P.S. Sec. 67.1307*

Except for the duplication fee established by the state, the Joint Operating Committee shall approve a list of reasonable fees relative to requests for public records. The center shall maintain a list of applicable fees and disseminate the list to requesters.

*65 P.S. Sec. 67.1307*

No fee may be imposed for review of a record to determine whether the record is subject to access under law.

*65 P.S. Sec. 67.1307*

Prior to granting access, the center may require prepayment of estimated fees when the fees required to fulfill the request are expected to exceed $100.

*65 P.S. Sec. 67.1307*

The Administrative Director may waive duplication fees when the requester duplicates the record or the Administrative Director deems it is in the public interest to do so.

**Response To Request**

*65 P.S. Sec. 67.502, 67.702*

Employees of the center shall be directed to immediately forward requests for access to public records to the Open Records Officer.

*65 P.S. Sec. 67.901*

Upon receipt of a written request for access to a record, the Open Records Officer shall determine if the requested record is a public record and if the center has possession, custody or control of that record.

*65 P.S. Sec. 67.901*

The Open Records Officer shall respond as promptly as possible under the existing circumstances, and the initial response time shall not exceed five (5) business days from the date the written request is received by the Open Records Officer.

The initial response shall grant access to the requested record; deny access to the requested record; partially grant and partially deny access to the requested record; notify the requester of the need for an extension of time to fully respond; or request more detail from the requester to clearly identify the requested material.
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<tr>
<th>65 P.S.</th>
<th>If the center fails to respond to a request within five (5) business days of receipt, the request for access shall be deemed denied.</th>
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<tr>
<td>Sec. 67.901</td>
<td><strong>Extension Of Time</strong></td>
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<td>65 P.S.</td>
<td>If the Open Records Officer determines that an extension of time is required to respond to a request, in accordance with the factors stated in law, written notice shall be sent within five (5) business days of receipt of request. The notice shall indicate that the request for access is being reviewed, the reason that the review requires an extension, a reasonable date when the response is expected, and an estimate of applicable fees owed when the record becomes available.</td>
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<td>Sec. 67.901, 67.902</td>
<td>Up to a thirty (30) day extension for one (1) of the listed reasons does not require the consent of the requester. If the response is not given by the specified date, it shall be deemed denied on the day following that date.</td>
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<td>A requester may consent in writing to an extension that exceeds thirty (30) days, in which case the request shall be deemed denied on the day following the date specified in the notice if the Open Records Officer has not provided a response by that date.</td>
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<td><strong>Granting Of Request</strong></td>
<td>If the Open Records Officer determines that the request will be granted, the response shall inform the requester that access is granted and either include information on the regular business hours of the administration office, provide electronic access, or state where the requester may go to inspect the records or information electronically at a publicly accessible site. The response shall include a copy of the fee schedule in effect, a statement that prepayment of fees is required in a specified amount if access to the records will cost in excess of $100, and the medium in which the records will be provided.</td>
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<td>65 P.S.</td>
<td>A public record shall be provided to the requester in the medium requested if it exists in that form; otherwise, it shall be provided in its existing medium. However, the center is not required to permit use of its computers.</td>
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<td>Sec. 67.701</td>
<td>The Open Records Officer may respond to a records request by notifying the requester that the record is available through publicly accessible electronic means or that the center shall provide access to inspect the record electronically. If the requester, within thirty (30) days following receipt of the center’s notice, submits a written request to have the record converted to paper, the center shall provide access in printed form within five (5) days of receipt of the request for conversion to paper.</td>
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<td>65 P.S.</td>
<td>Sec. 67.506</td>
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<td>65 P.S.</td>
<td>Sec. 67.706</td>
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<td>65 P.S.</td>
<td>Sec. 67.905</td>
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<td>4.</td>
<td>Date of the response.</td>
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<td>5.</td>
<td>Procedure for the requester to appeal a denial of access.</td>
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<td>65 P.S. Sec. 67.506</td>
<td>The Open Records Officer may deny a request for access to a record if the requester has made repeated requests for that same record and the repeated requests have placed an unreasonable burden on the center.</td>
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<td>65 P.S. Sec. 67.506</td>
<td>The Open Records Officer may deny a request for access to a record when timely access is not possible due to a disaster, or when access may cause physical damage or irreparable harm to the record. To the extent possible, a record’s contents shall be made accessible even when the record is physically unavailable.</td>
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<td>65 P.S. Sec. 67.706, 67.903</td>
<td>Information that is not subject to access and is redacted from a public record shall be deemed a denial.</td>
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<td>65 P.S. Sec. 67.1101</td>
<td>If a written request for access to a record is denied or deemed denied, the requester may file an appeal with the state’s Office of Open Records within fifteen (15) business days of the mailing date of the Open Records Officer’s response or deemed denial.</td>
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References:

School Code – 24 P.S. Sec. 408, 518

Right-to-Know Law – 65 P.S. Sec. 67.101 et seq.


Accessibility to Communications, Title 28, Code of Federal Regulations – 28 CFR Sec. 35.160, 35.164

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